



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

209/656,915

09/07/2000

Larry I. Benowitz

CMZ-129

00095  
PATENT & TRADEMARK OFFICE  
28 STATE STREET  
BOSTON, MA 02109



CONFIRMATION NO. 2385

## FORMALITIES LETTER



\*OC00000005938176\*

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
FILED UNDER 37 CFR 1.53(b)*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$900.
  - \$666 for 37 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1720.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

01/22/2002 RNABII 0000006 09656915

01 FC:201	370.00 OP
02 FC:203	333.00 OP
03 FC:202	126.00 OP
04 FC:205	65.00 OP

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Larry I. Benowitz

Serial No.: 09/656,915

Filed: September 7, 2000

Group Art Unit: 1614

Examiner: Not Yet Assigned

FOR: "*Methods and Compositions for Modulating Axonal Outgrowth of Central Nervous System Neurons*"

Attorney Docket No.: CMZ-129

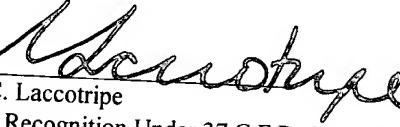
BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

November 5, 2001  
\_\_\_\_\_  
Date of Signature and of Mail Deposit

By:

  
Maria C. Laccotripe  
Limited Recognition Under 37 C.F.R. § 10.9(b)

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated April 5, 2001, Applicant's agent submits herewith the executed Declaration, Petition and Power of Attorney for the above-identified patent application. A check in the amount of \$894.00 is enclosed to cover the total amount owed by Applicant including \$65.00 surcharge, \$370.00 basic filing fee, and \$459.00 additional claim fee. A copy of the Formalities Letter is also enclosed.

A small entity status under 37 C.F.R. § 1.9 and § 1.27 is hereby requested.

Response to Notice to File Missing Parts  
Serial No. 09/656,915  
Page 2 of 2

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,



Maria C. Laccotripe, Ph.D., J.D.  
Limited Recognition Under 37 C.F.R. §10.9(b)  
Agent for Applicant

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: November 5, 2001

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<sup>'</sup> Admitted in NY only  
<sup>\*\*</sup> Passed the Patent Bar Examination

November 5, 2001

Commissioner for Patents  
 Box Missing Parts  
 Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/656,915  
 Title: "Methods and Compositions for Modulating Axonal Outgrowth of Central Nervous System Neurons"  
 Filing Date: September 7, 2000  
 Inventor: Larry I. Benowitz  
 Attorney Docket No. CMZ-129

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Nonprovisional Application (in duplicate);
2. Executed Declaration, Petition and Power of Attorney (5 pages);
3. Request for Five-Month Extension of Time (in duplicate);
4. Preliminary Amendment and Response to Notice to Comply With Sequence Listing Requirements (2 pgs);
5. Transmittal Letter for Diskette Containing Sequence Listing (1 pg);
6. 1 page of Sequence Listing (Paper Copy);
7. Diskette Containing Computer Readable Copy of Sequence Listing;
8. Statement of Limited Recognition Under 37 C.F.R. §10.9(b);
9. Copy of Notice to File Missing Parts of Nonprovisional Application (Formalities Letter);
10. Check for \$894.00 (basic filing fee, additional claims fee and surcharge);
11. Check for \$980.00 (extension fee based on small entity status); and
12. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is enclosed.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

November 5, 2001

Date

Maria C. Laccotripe  
 Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:

Maria C. Laccotripe, Ph.D., J.D.  
 Limited Recognition Under 37 C.F.R. § 10.9(b)  
 Agent for Applicant



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Maria C. Laccotripe is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Lahive & Cockfield, LLP, to prepare and prosecute patent applications where the patent applicant is the client of Lahive & Cockfield, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Lahive & Cockfield, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Maria C. Laccotripe ceases to lawfully reside in the United States, (ii) Maria C. Laccotripe's employment with Lahive & Cockfield, LLP ceases or is terminated, or (iii) Maria C. Laccotripe ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: September 4, 2002

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline